

2176

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

Be used for all correspondence after initial filing)

| | |
|------------------------|------------------------|
| Application Number | 09/457,109 |
| Filing Date | December 7, 1999 |
| First Named Inventor | Darryl E. RUBIN et al. |
| Art Unit | 2176 |
| Examiner Name | Nguyen, M. |
| Attorney Docket Number | 003797.81487 |

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| Total Number of Pages in This Submission | |
|--|--|

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
|--|---|--|

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|-------------------------------------|
| Firm or Individual name | Gary D. Fedorochko, Reg. No. 35,509 |
| Signature | |
| Date | December 22, 2003 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|-----------------------|--|------|--|
| Typed or printed name | | Date | |
| Signature | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (a) USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes/complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the in case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 18

Complete if Known

Application Number 09/457,109
Filing Date December 7, 1999
First Named Inventor Darryl E. RUBIN et al.
Examiner Name Nguyen, M.
Art Unit 2176
Attorney Docket No. 003797.81487

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 19-0733

Deposit Account Name Banner & Witcoff, LTD.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|------------------------|----------|
| 1001 | 2001 | 770 | 385 | Utility filing fee | |
| 1002 | 2002 | 340 | 170 | Design filing fee | |
| 1003 | 2003 | 530 | 265 | Plant filing fee | |
| 1004 | 2004 | 770 | 385 | Reissue filing fee | |
| 1005 | 2005 | 160 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | | | | | | | |
|--------------------|----|--------|---|---|---|----|---|----|
| Total Claims | 21 | -20 ** | = | 1 | X | 18 | = | 18 |
| Independent Claims | 3 | -3 ** | = | 0 | X | 86 | = | 0 |
| Multiple Dependent | | | | | X | | = | 0 |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| 1202 | 2202 | 18 | 9 | Claims in excess of 20 | |
| 1201 | 2201 | 86 | 43 | Independent claims in excess of 3 | |
| 1203 | 2203 | 290 | 145 | Multiple dependent claim, if not paid | |
| 1204 | 2204 | 86 | 43 | ** Reissue independent claims over original patent | |
| 1205 | 2205 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$18)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|--------------|--------------|----------|----------|--|----------|
| 1051 | 2051 | 130 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 2052 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | 130 | 130 | Non-English specification | |
| 1812 | 1812 | 2,520 | 2,520 | For filing a request for reexamination | |
| 1804 | 1804 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1805 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | 110 | 55 | Extension for reply within first month | |
| 1252 | 2252 | 420 | 210 | Extension for reply within second month | |
| 1253 | 2253 | 950 | 475 | Extension for reply within third month | |
| 1254 | 2254 | 1,480 | 740 | Extension for reply within fourth month | |
| 1255 | 2255 | 2,010 | 1,005 | Extension for reply within fifth month | |
| 1401 | 2401 | 330 | 165 | Notice of Appeal | |
| 1402 | 2402 | 330 | 165 | Filing a brief in support of an appeal | |
| 1403 | 2403 | 290 | 145 | Request for oral hearing | |
| 1451 | 1451 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 2452 | 110 | 55 | Petition to revive - unavoidable | |
| 1453 | 2453 | 1,330 | 665 | Petition to revive - unintentional | |
| 1501 | 2501 | 1,330 | 665 | Utility issue fee (or reissue) | |
| 1502 | 2502 | 480 | 240 | Design issue fee | |
| 1503 | 2503 | 640 | 320 | Plant issue fee | |
| 1460 | 1460 | 130 | 130 | Petitions to the Commissioner | |
| 1807 | 1807 | 50 | 50 | Processing fee under 37 CFR 1.17 (q) | |
| 1806 | 1806 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 8021 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | 770 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 2810 | 770 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 2801 | 770 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 1802 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0)

**or number previously paid, if greater; For Reissues, see above

| | | | | | |
|-------------------|--------------------|------------------|--------|-----------|--------------|
| SUBMITTED BY | | Registration No. | | Telephone | |
| Name (Print/Type) | Gary D. Fedorochko | (Attorney/Agent) | 35,509 | | 202-824-3000 |
| Signature | [Signature] | | Date | 12/22/03 | |

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Appln. No.: 09/457,109
Amendment dated December 22, 2003
Reply to Office Action of October 3, 2003

SC
#6B
1-704



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

Darryl E. RUBIN et al.

Serial No.: 09/457,109

Filed: December 7, 1999

For: COMPUTER USER INTERFACE
ARCHITECTURE WHEREIN BOTH
CONTENT AND USER INTERFACE ARE
COMPOSED OF DOCUMENTS WITH
LINKS

Atty. Docket No.: 003797.81487

Group Art Unit: 2176

Examiner: Nguyen, M.

Confirmation No.: 7310

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Technology Center 2100

AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed October 3, 2003, please amend the instant application as follows:

Amendments to the Claims are reflected in the Listing of Claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.

12/29/2003 SDENBOB1 00000079 190733 09457109

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